



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of JAMES W. KLETT, et al.

Docket No.: 6321-157

Serial No.: 09/489,640

Examiner: Copenheaver, Blaine R.

Date Filed: January 24, 2000

Art Unit: 1771

Title: PITCH-BASED CARBON FOAM HEAT SINK WITH PHASE CHANGE MATERIAL

**CERTIFICATE UNDER 37 CFR 1.8(a)**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on

April 30, 2002  
[Signature] Reg. No. 39,120  
Vincent A. Branton

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT No. 3**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO/SB/08B which the Examiner may deem relevant to patentability of the claims of the above-identified application.

The submission of the listed documents is not intended as an admission that any listed document constitutes prior art against the claims of the present application. Applicant does not waive any right to take action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08B be returned in accordance with MPEP § 609.

Although no fee is believed due, the Commissioner is hereby authorized to charge any fees which may be required by submission of these papers to Deposit Account No. 13-1958.

Dated: 04-30-02

Respectfully submitted,

[Signature]  
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